



# Active Learning Methods on Graphs for Image, Video and Multispectral Datasets

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# Introduction

- **Supervised Learning** : Large amount of training (labeled) data
  - e.g. Convolutional neural network, support vector machine
- **Unsupervised Learning**: No training (labeled) data
  - e.g. Spectral clustering, k-means clustering, t-SNE embedding
- **Semi-supervised learning (SSL)**: Small amount of labeled data, but have unlabeled data as well
  - Graph-based SSL
    - Use similarity graph structure to aid in inferring classification of unlabeled data
    - Especially useful in low-label rate regime



# Graph Construction

- Given inputs  $Z = \{z_1, z_2, \dots, z_n\}$ , define a symmetric kernel function  $k(z_i, z_j)$  between each pair of points
  - Larger  $k(z_i, z_j)$  means higher similarity between the points
  - Define weight matrix,  $W_{ij} = k(z_i, z_j)$

$$w_{ij} = \exp(-d(z_i, z_j)^2 / \tau)$$

- For example,
  - **Metric ( $d$ ):** Euclidean, angular
  - **Scaling ( $\tau$ ):**
    - Constant = Gaussian kernel
    - Distance with  $k^{\text{th}}$  nearest neighbor = Zelnik-Manor and Perona (ZMP)



# Graph Construction: Degree and Graph Laplacian

- Degree of node  $z_i$ :  $d_i = \sum_j w_{ij}$ 
  - Diagonal degree matrix:  $D = \text{diag}(d_1, d_2, \dots, d_n)$
- Graph Laplacian:  $L = D - W$ 
  - Common normalization:  $L_s = D^{-1/2} L D^{-1/2}$  (“normalized graph Laplacian”) - Hyperspectral Imagery
  - Positive semi-definite operator whose eigenvectors are useful for encoding clustering structure
    - E.g. Spectral Clustering



# Accelerating the Diagonalization

Avoid costly computation of eigenvectors and eigenvalues on large, dense matrices.

- $k$  nearest neighbor (kNN) graph
  - Only keep the weights of each node related to its  $k$  nearest neighbors ( $k \ll n$ )
  - Results in a **sparse** matrix
- Nystrom Extension
  - **Low-rank approximation** of the dense weight matrix:
    - Constructs and stores only  $k$  columns ( $k \ll n$ )
  - Efficient computation of the eigenpairs of the graph Laplacian

# Active Learning

## Comparison of Goals:

- Semi-Supervised Learning : Accurate classification given current labeled data
- **Active Learning:** “Optimally” select points to hand-label (classify) in order to improve underlying SSL classifier

Active Learning Methods are usually more **explorative** or more **exploitative**

- **Exploration:** “Explore” the extent of the clustering structure of the underlying dataset
- **Exploitation:** “Exploit” the current level of knowledge about the classification of points in dataset

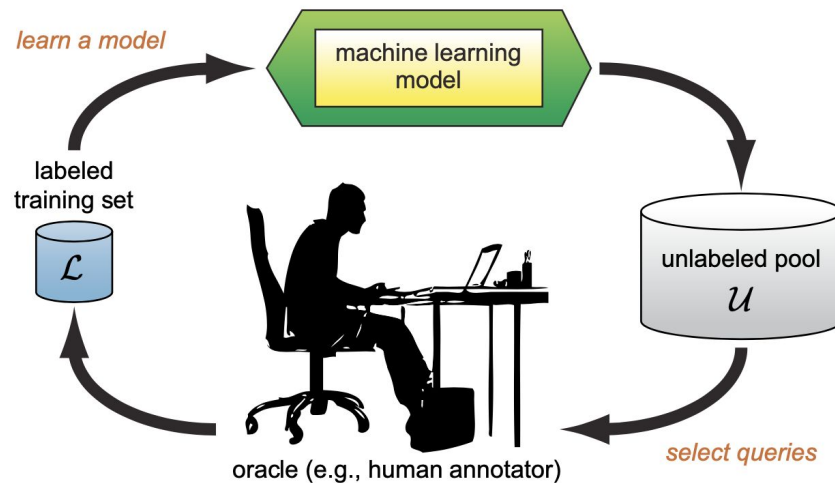
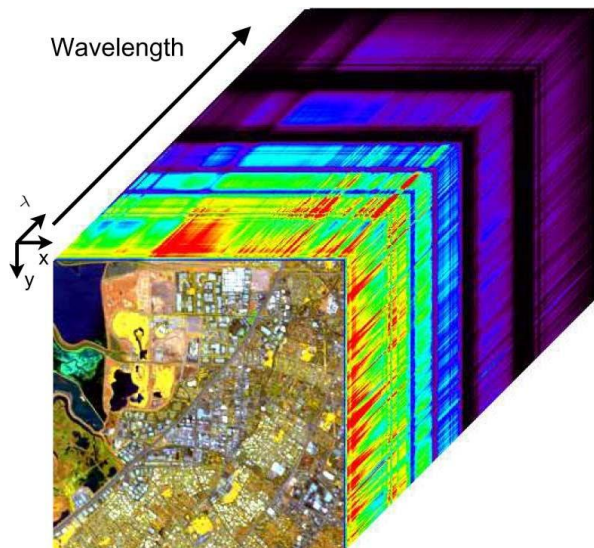


Image credit: Settles, Active Learning, 2013.

# Application: Hyperspectral Imagery (HSI)

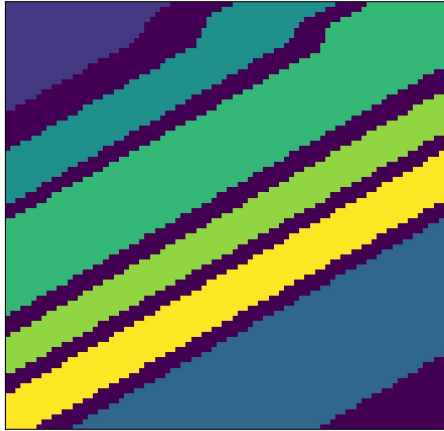


Hyperspectral images contain rich information about objects in the image, per the many wavelengths that are sampled when image is taken.

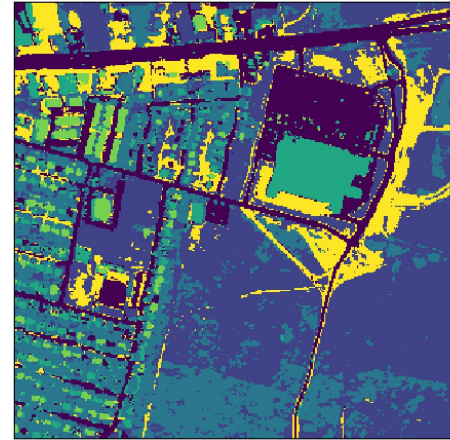
- Seek to classify the pixels into classes (e.g. water, dirt, grass, metal, etc)
- Noisy measurements, corrupted by weather and atmospheric effects

Apply active learning to incorporate human-in-the-loop to improve the accuracy of graph-based semi-supervised classification of pixels.

# HSI Datasets



**Salinas-A:** 6 classes of plant types



**Urban:** asphalt, grass, tree, roof, metal, and dirt

## Graph Construction Details:

- 15-nearest neighbors graph
- Cosine similarity kernel,  $k(x_i, x_j) = \langle x_i, x_j \rangle / \|x_i\| \|x_j\|$



# Active Learning with Graph-Based SSL

Given graph Laplacian  $L$ , define the energy  $\mathcal{J}(U; Y) := \frac{1}{2} \langle U, LU \rangle_F + \sum_{j \in \mathcal{L}} \ell(\mathbf{u}^j, \mathbf{y}^j)$

- $N \times n_c$  matrix  $U$  (# pixels by # classes)
  - $\mathbf{u}^j$  =  $j$ -th row of matrix  $U$
- $\mathbf{y}^j$  = “one-hot” encoding of classification of pixel  $j$
- Loss functions:
  - Squared-Error  $\ell(\mathbf{s}, \mathbf{t}) = \frac{1}{2\gamma^2} \|\mathbf{s} - \mathbf{t}\|_2^2$  (will refer to as Multiclass Gaussian Regression, MGR)
  - Cross-Entropy (CE)  $\ell(\mathbf{s}, \mathbf{t}) = \sum_{c=1}^{n_c} s_c \ln t_c$

## Look-Ahead Model

- “Hypothetical” model, if were to label pixel  $k$  according to class  $\mathbf{y}^k$ .

$$\mathcal{J}^{+k, \mathbf{y}^k}(U; Y, \mathbf{y}^k) := \frac{1}{2} \langle U, LU \rangle_F + \sum_{j \in \mathcal{L}} \ell(\mathbf{u}^j, \mathbf{y}^j) + \ell(\mathbf{u}^k, \mathbf{y}^k)$$

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How does labeling point  $k$  help  
our overall classification  
model?

# Active Learning Acquisition Functions

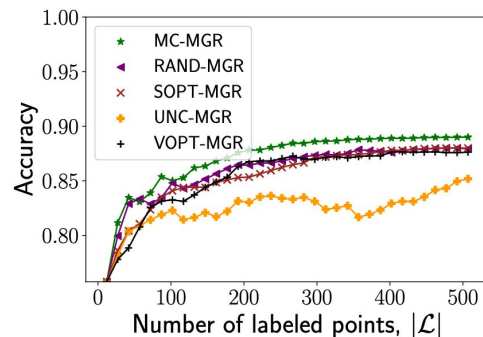
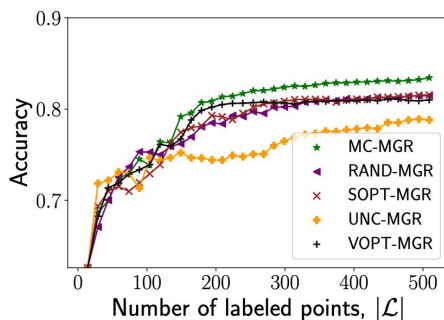


*Acquisition function: Active learning criterion “function” that quantifies the utility of labeling an unlabeled point  $k$*

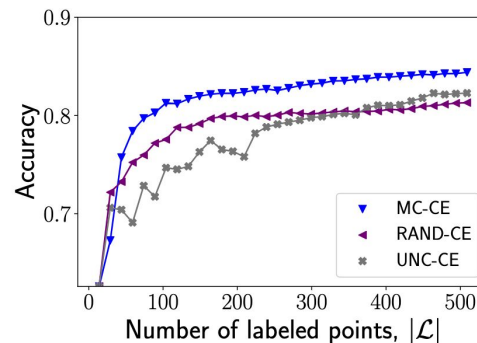
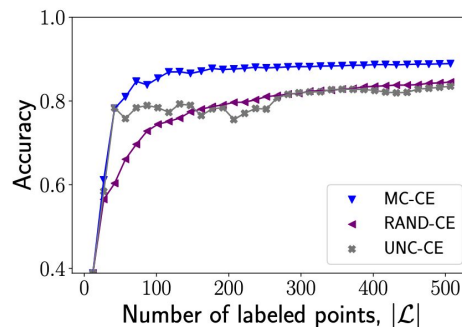
- **Random Sampling** : Select points uniformly at random
- **Uncertainty Sampling** : Select point that current classifier is “most uncertain” about
- **Variance Minimization** : Select point that will decrease the variance of the current classifier the most
  - **VOpt**: Minimize Trace of covariance matrix of Gaussian distribution of associated graph-based classifier
  - **SOpt**: “Sigma Optimality”, variant of VOpt
- **Model Change** : Select point that will change the current classifier “the most”
  - Use look-ahead model with hypothetical “pseudo-label” to calculate

# Results in HSI Application

Multiclass  
Gaussian  
Regression



Cross-Entropy



Salinas-A (~7K total points)

Urban (~90K total points)

# Synthetic Aperture Radar (SAR) Images

- Finer resolution images than standard radar
  - Mimic large antenna properties with multiple measurements from smaller antenna
  - Present in moving objects such as aircraft/spacecraft as well as drones
- Useful for Automatic Target Recognition (ATR) problems

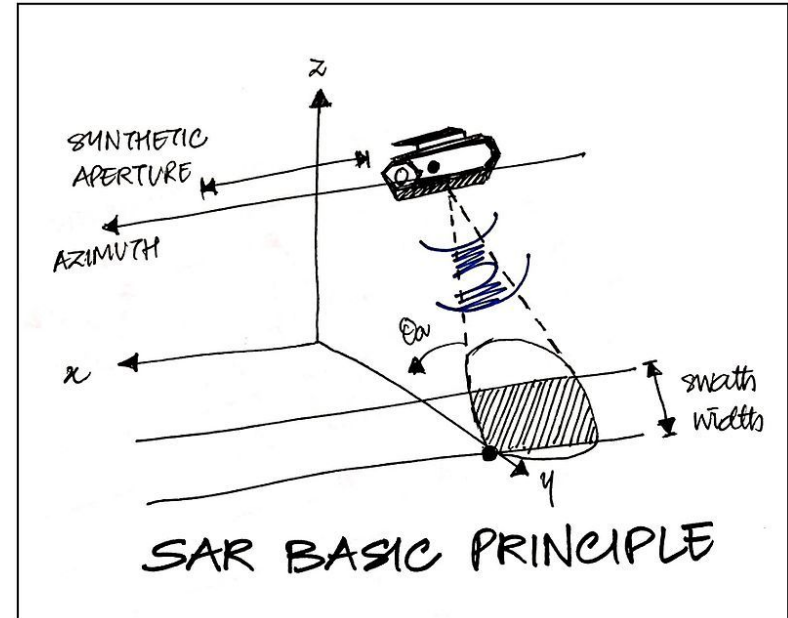
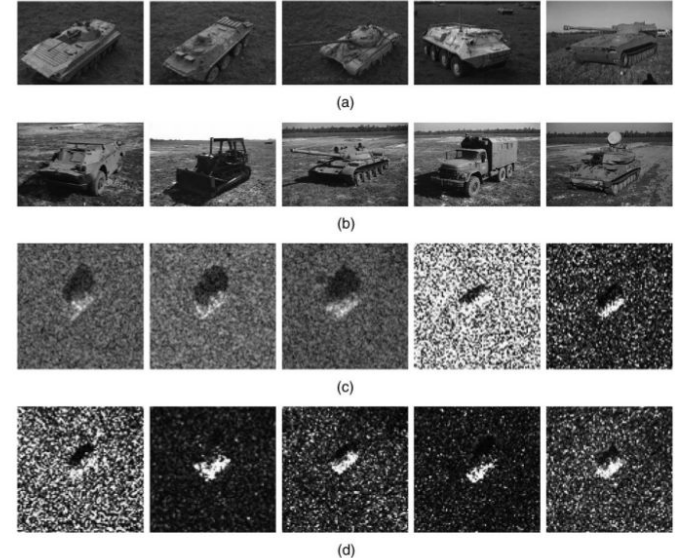


Image credit: [https://commons.wikimedia.org/wiki/File:Synthetic\\_Aperture\\_Radar.jpg](https://commons.wikimedia.org/wiki/File:Synthetic_Aperture_Radar.jpg)

# MSTAR Dataset

- Collection of SAR images from 1995-1997.
  - 10 distinct types of ground vehicles such as tanks and trucks.
  - 6,874 images of size 88 x 88
- Magnitude and phase data



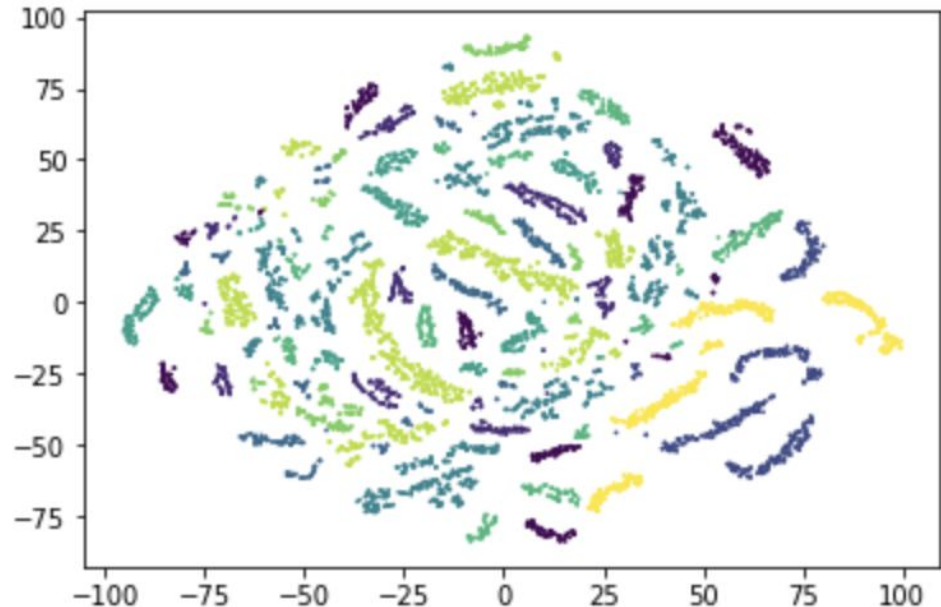
**Fig. 2** MSTAR database. (a) and (b) Visible light images for BMP2, BTR70, T72, BTR60, 2S1, BRDM2, D7, T62, ZIL131, and ZSU23/4. (c) and (d) Corresponding SAR images for 10 targets measured at azimuth angle of 45 deg.

Image credit: Perumal, Vasuki (2013).

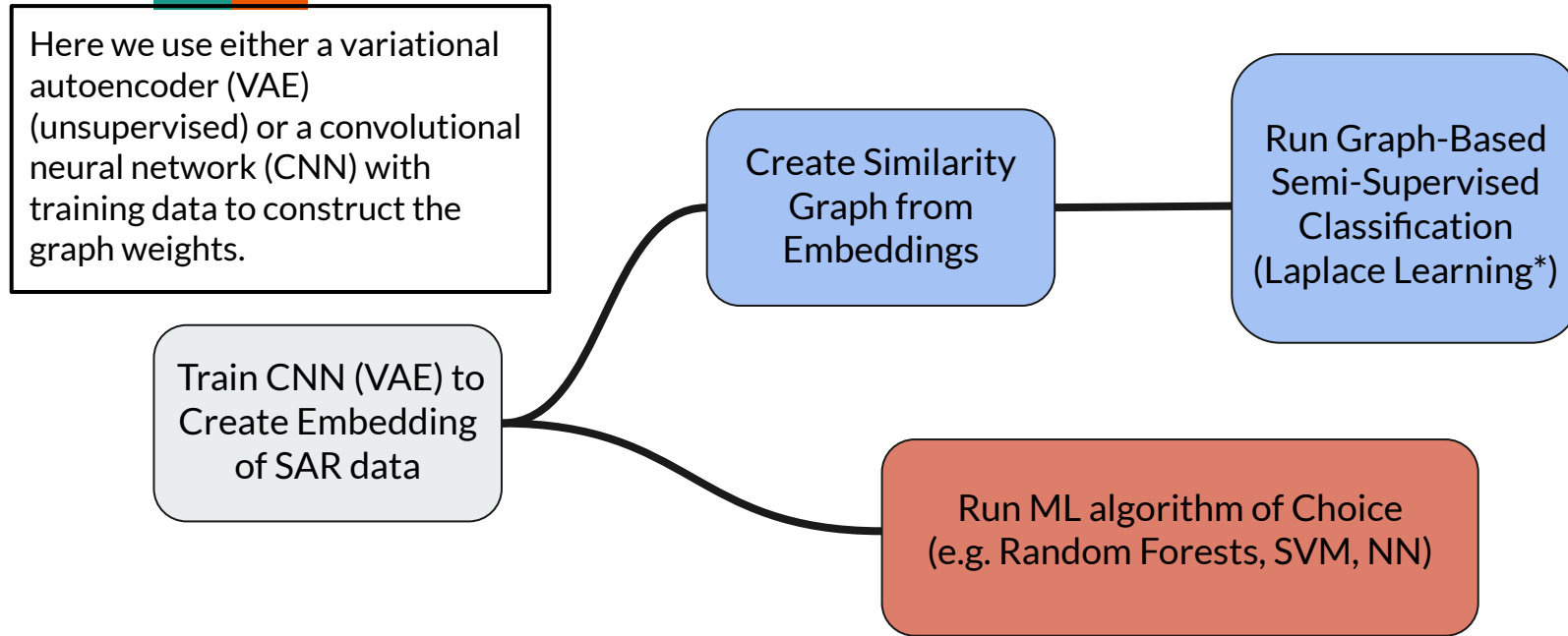
# t-SNE Embedding of MSTAR for visualization

t-Distributed Stochastic Neighbor Embedding (t-SNE) is an unsupervised nonlinear embedding. Analogous to PCA but preserving only small pairwise distances

- Each color represents a different class:
  - 2s1 gun
  - zsu23-4 gun
  - bmp2 tank
  - t62 tank
  - t72 tank
  - brdm2 truck
  - zill31 truck
  - btr60 transport
  - btr70 transport
  - bulldozer
- Seemingly “natural” clustering structure with minimal overlap
  - **Great candidate for graph-based learning!**



# MSTAR Machine Learning Pipeline



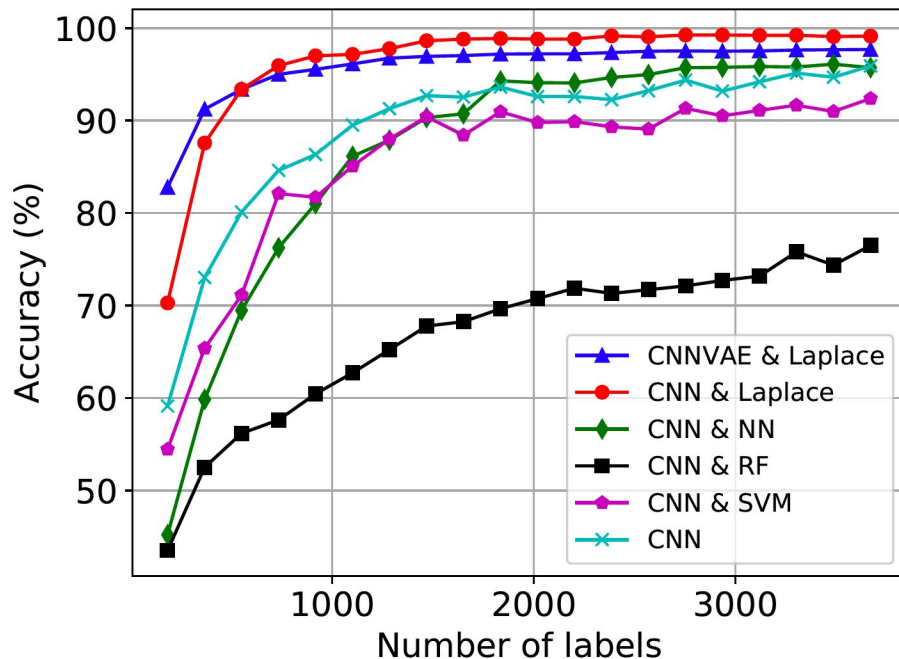
\*Laplace Learning (AKA Label Propagation) of Zhu, Ghahramani, and Lafferty (2003).



# The Case for Graph Learning on MSTAR Data

- With CNN trained on 5%, 10%, 15%, ... of training data, report the testing accuracies of various ML algorithms.
  - Provides “upper bound” on hoped for capability of unsupervised representations
- CNN-VAE representations trained on all of training data, **but without any label information**.

**Graph Learning appears to be superior at using these learned representations!**



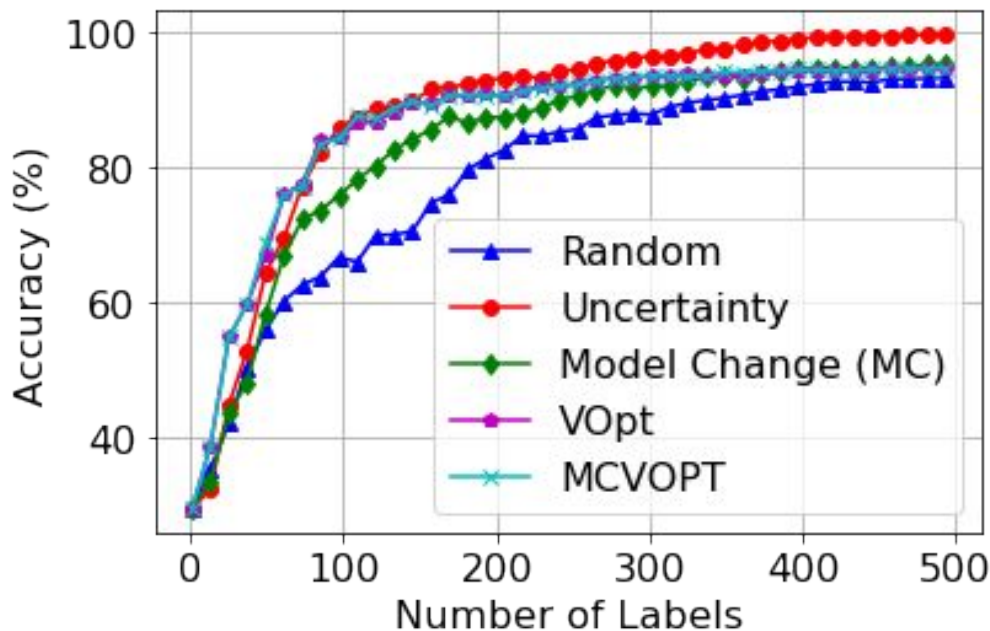
# Active Learning on MSTAR



Given effectiveness of graph learning in low-label regime, we apply active learning to further improve the performance.

- Use CNN-VAE representations (i.e. no labeled data required for representation learning)
- Starting with *only 1 labeled point per class*, select 500 labeled points sequentially via the following acquisition functions:
  - Random sampling
  - Uncertainty
  - VOpt
  - Model Change (MC)
  - MCVOPT : A novel combination of VOpt and Model Change acquisition functions

# Active Learning with Graph Learning on MSTAR



Here we use only the CNN-VAE for graph construction **without** any labels

Top performing: **Uncertainty Sampling**

- Related to geometry of dataset with many, distinct small clusters
- Exploration **and** Exploitation as a result



## Conclusion

- Active learning in conjunction with graph-based learning is effective and efficient way to improve semi-supervised learning
- The natural clusters in MSTAR dataset ideal for graph learning
  - Clustering structure allows even simple acquisition functions (i.e. Uncertainty Sampling) to perform well
- Code available on GitHub
  - HSI experiments (<https://github.com/millerk22/model-change-paper/>)
  - MSTAR experiments (<https://github.com/jwcalder/MSTAR-Active-Learning/>)



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